

A CONJECTURE OF BARRATT–JONES–MAHOWALD  
CONCERNING FRAMED MANIFOLDS HAVING  
KERVAIRE INVARIANT ONE

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## §1. INTRODUCTION

TO SETTLE the question of the existence or non-existence of a framed manifold having a non-trivial Kervaire invariant (or Arf invariant) is one of the main long-standing problems in algebraic topology. The Kervaire invariant is a  $\mathbb{Z}/2$ -valued invariant which may be formulated in many contexts. Originally it occurred as an invariant in framed surgery theory and for this approach the reader may consult [12] for example. It is reformulated in [7] in terms of the Adams spectral sequence for the stable homotopy of spheres. In particular the only open cases were reduced to determining whether  $h_k^2 \in \text{Ext}_{\mathcal{A}}^{2, 2^{k+1}}(\mathbb{Z}/2, \mathbb{Z}/2)$  is an infinite cycle, producing a non-trivial element  $\theta_k$  in the  $2^{k+1} - 2$  stem of the stable homotopy of spheres. More recently the Kahn–Priddy theorem [8] and the algebraic Kahn–Priddy theorem [10] have been used to convert it to a problem in the stable homotopy of infinite dimensional real projective space  $\mathbb{R}P^\infty$ . The Kahn–Priddy theorem gives a stable map  $\tau: \mathbb{R}P^\infty \rightarrow S^0$  which is a split surjection of stable homotopy groups (localized at the prime 2)

$$(1.1) \quad \pi_*^S(\mathbb{R}P^\infty)_{(2)} \xrightarrow{\pi_* \tau} \pi_*^S(S^0)_{(2)}.$$

The algebraic Kahn–Priddy theorem states that the map of Ext groups, induced by  $\tau$ , is an epimorphism

$$(1.2) \quad \text{Ext}_{\mathcal{A}}^{s, t}(H^*(\mathbb{R}P^\infty), \mathbb{Z}/2) \longrightarrow \text{Ext}_{\mathcal{A}}^{s+1, t+1}(\mathbb{Z}/2, \mathbb{Z}/2).$$

It is well known that, (see [11] for example), together they reduce the question of the existence of  $\theta_{k+1}$  to the existence of a stable map  $g: S^{2^{k+1}-2} \rightarrow \mathbb{R}P^{2^{k+1}-2}$  which is detected by  $Sq^{2^k}$ , i.e.  $Sq^{2^k}$  is nonzero on  $H_{2^{k+1}-1}$  (Cone  $g$ ). Notice that this reduces it from a problem about secondary operations to one about primary operations. What this paper does is show that this question about primary operations in cohomology is equivalent to a question about  $e$ -invariants in  $K$ -theory. We do this by proving the following theorem.

(1.3) THEOREM. Let  $g: \Sigma^N S^n \rightarrow \Sigma^N \mathbb{R}P^n$ , ( $n = 2t - 2$ ,  $t = 2^k$ ,  $k \geq 2$ ) be a map representing  $[f] \in \pi_n^S(\mathbb{R}P^\infty)$ . Then the following are equivalent:

- (a)  $[f] \in \pi_n^S(\mathbb{R}P^\infty)$  has non-trivial Kervaire invariant in the sense mentioned above.
- (b)  $g_* = f_*: jo_n(S^n) \rightarrow jo_n(\mathbb{R}P^\infty)$  is non-trivial.
- (c)  $g$  has  $KU_* - e$ -invariant equal to  $((3^t - 1)/4)(2s + 1)$  in (2.2).
- (d)  $g$  (or  $f$ ) has  $bo_* - e$ -invariant of the form  $2^{k+1}(2v + 1)$  in  $bo_{n+1}(\mathbb{R}P^\infty) \cong \mathbb{Z}/2^t$ .

In [5] it was shown that (b) implies (a), in §1.3, and it was conjectured there that the converse holds. The formulation of the Kervaire invariant problem as in §1.3 (c)/(d) is much easier to work with than that of (a) or (b). As an (easy) exercise the reader is invited to derive all the Hopf invariant results of [5] from this formulation.

§2 will show the equivalence of (b), (c) and (d). We conclude this section by giving a proof, modulo some computational lemmas proved in §3, of the equivalence of (a) and (d) in (1.3).

*Proof.* Remember we are trying to determine whether  $f$  is null homotopic, i.e. whether, in the cofibration

$$(1.4) \quad S^n \xrightarrow{f} \mathbb{R}P^\infty \xrightarrow{h} \text{Cone } f \xrightarrow{c} S^{n+1},$$

it happens that  $\text{Cone } f \simeq \mathbb{R}P^\infty \vee S^{n+1}$ . Ignoring the action of the Steenrod algebra, we find that

$$(1.5) \quad H\mathbb{Z}/2_*(\text{Cone } f) \cong H\mathbb{Z}/2_*(\mathbb{R}P^\infty) \oplus H\mathbb{Z}/2_*(S^{n+1}).$$

However, one can also consider the action of the Steenrod algebra on  $H\mathbb{Z}/2_*(\text{Cone } f)$ . In particular if  $Sq^{2^k}$  is nonzero on  $H_{2^{k+1}-1}(\text{Cone } f) \cong \mathbb{Z}/2 \oplus \mathbb{Z}/2$ , we say  $f$  is detected by  $Sq^{2^k}$ . (One should note that one of the  $\mathbb{Z}/2$ 's comes from a cell in  $\mathbb{R}P^\infty$  and it is known that  $Sq^{2^k}$  is zero on it.) It is important for our proof to notice that, in this case, detection by  $Sq^{2^k}$  is equivalent to detection by  $Sq^{2^k} + b$  where  $b$  is a decomposable element of degree  $2^k$  in the mod 2 Steenrod algebra, because  $b$  must be zero on  $H_{2^{k+1}-1}(\text{Cone } f)$ . There are two ways to see this. If  $f$  is detected by  $Sq^{2^a}$  for  $a < k$ , then our proof of the main theorem would imply that  $[f]$  has a  $jo_*$  Hurewicz image of order greater than two which contradicts §2.8. Alternatively one can look at (4.6) of [5] to learn that  $\text{Ext}^{1, 2^{k+1}-1}(H^*\mathbb{R}P^\infty, \mathbb{Z}/2) \cong \mathbb{Z}/2$  generated by an element whose survival to  $E_\infty$  would indicate a map detected by  $Sq^{2^k}$ .

Working with  $bo$  homology we get

(1.6)

$$\begin{array}{ccccc} 0 \rightarrow bo_{2^{k+1}-1}(\mathbb{R}P^\infty) & \xrightarrow{bo_*h} & bo_{2^{k+1}-1}(\text{Cone } f) & \xrightarrow{bo_*c} & bo_{2^{k+1}-1}(S^{2^{k+1}-1}) \rightarrow 0 \\ \cong \downarrow & & \cong \downarrow & & \cong \downarrow \\ \mathbb{Z}/2^{2^k} \langle \beta_{2^{k-1}} \rangle & & \mathbb{Z}/2^{2^k} \langle \beta_{2^{k-1}} \rangle \oplus \mathbb{Z}_{(2)} \langle F \rangle & & \mathbb{Z}_{(2)} \langle T \rangle \end{array}$$

(see [14] for the calculation of  $bo_*\mathbb{R}P^\infty$ ). This sequence splits as  $bo_*$  modules and in a further attempt to detect  $f$  we consider the action of  $\psi^3 - 1$  on  $bo_*\text{Cone } f$ .  $F$  has been chosen to be a preimage of  $T$ . Since  $\psi^3 T = T$ , the naturality of  $\psi^3$  tells us that  $(\psi^3 - 1)(F) \in \text{Ker } bo_*c = \text{Im } bo_*h$ . So  $(\psi^3 - 1)F = \lambda\beta_{2^{k-1}}$  for some  $\lambda \in \mathbb{Z}/2^{2^k}$ . Since (see [14])

$$(\psi^3 - 1)(\beta_{2^{k-1}}) = (9^{2^{k-1}} - 1)\beta_{2^{k-1}} = (2s + 1)2^{k+2}\beta_{2^{k+1}},$$

if  $\lambda | 2^{k+2}$  ( $\lambda \neq 2^{k+2}$ ) then  $\text{Cone } f$  is not homotopic to  $\mathbb{R}P^\infty \vee S^{n+1}$  and we say that  $f$  has a nonzero  $e$ -invariant.

To relate these two methods of detection we use the following commutative diagram

(1.7)

$$\begin{array}{ccccc} H\mathbb{Z}/2_{2^{k+1}-1}(\text{Cone } f) & \leftarrow & bo_{2^{k+1}-1}(\text{Cone } f) & \xrightarrow{\psi^3 - 1} & bo_{2^{k+1}-1}(\text{Cone } f) \\ \downarrow Sq^{2^k} + a & & \downarrow & & \downarrow (\psi^3 - 9) \dots (\psi^3 - 9^{2^{k-2}-1}) \\ H\mathbb{Z}/2_{2^k-1}(\text{Cone } f) & \xleftarrow{\cong} & (\Sigma^{2^k} bo)_{2^{k+1}-1}^{(2^{k-1}-1)}(\text{Cone } f) & \xrightarrow{f^{2^{k-2}\lambda}} & bo_{2^{k+1}-1}(\text{Cone } f) \\ & & \cong \downarrow & & \\ & & \mathbb{Z}/2 & & \end{array}$$

which can be recovered from Theorem B and Theorem 4.2 in [15] (for the definition of  $\theta, \lambda, j$  and  $bo^{(n)}$  see Theorem B there).

An Adams spectral sequence calculation (see Lemma 3.1) will show that  $bo_{2^k-1}^{(2^k-1-1)}(\text{Cone } f) \cong \mathbb{Z}/2$  and that the map to  $H\mathbb{Z}/2_{2^k-1}(\text{Cone } f)$  is an isomorphism. Similarly, studying the cofibration associated to  $\text{Cone } f$  indicates that in

$$\begin{array}{ccc} bo_{2^{k+1}-1}(\text{Cone } f) & \longrightarrow & H\mathbb{Z}/2_{2^{k+1}-1}(\text{Cone } f) \\ \cong \downarrow & & \downarrow \cong \\ \mathbb{Z}/2^{2^k} \langle \beta_{2^k-1} \rangle \oplus \mathbb{Z}_{(2)} \langle F \rangle & & \mathbb{Z}/2 \oplus \mathbb{Z}/2 \end{array}$$

$F$  is sent to the generator of the  $\mathbb{Z}/2$  coming from the cell attached by  $f$ . Hence  $f$  is detected by  $Sq^{2^k}$  if and only if  $\theta_{2^k-2}F$  is non-zero. Finally Lemmas (3.2) and (3.3) will show that

$$\begin{aligned} (\psi^3 - 1)(\psi^3 - 9) \dots (\psi^3 - 9^{2^k-2-1})(F) &= (2s + 1) \cdot \lambda \cdot 2^{2^k-k-2} \beta_{2^k-2} \\ &= 2^{2^k-1} \cdot \theta_{2^k-2}(F) \end{aligned}$$

Thus  $\theta_{2^k-2}F$  is nonzero if and only if  $v_2(\lambda) = k + 1$  i.e.  $f$  has a nonzero  $e$  invariant (one should notice that this immediately gives the fact that  $f$  is not divisible by 2). Q.E.D.

## §2. $k$ -THEORY $e$ -INVARIANTS

Suppose that  $t = 2^k$ ,  $n = 2t - 2$  and that  $g: \Sigma^N S^n \rightarrow \Sigma^N \mathbb{R}P^n$  is a map which represents  $[f]$  in §1.3. Let  $KU_*$  denote reduced (periodic) unitary  $K$ -homology [1, p.134] and let  $bu_*$ ,  $bo_*$  denote connective unitary and orthogonal  $K$ -theory respectively [2, p. 146]. Let  $C(g)$  denote the cofibre of  $g$  and consider the resulting  $K$ -theory sequences.

(2.1)

$$\begin{array}{ccccc} \mathbb{Z}/2^{t-1} \cong KU_{n+1}(\mathbb{R}P^n) & \xrightarrow{\delta} & KU_{n+1}(C(g)) & \xrightarrow{\delta} & KU_n(S^n) \cong \mathbb{Z} \\ \uparrow \cong & & \uparrow & & \uparrow \cong \\ bu_{n+1}(\mathbb{R}P^n) & \xrightarrow{\delta} & bu_{n+1}(C(g)) & \xrightarrow{\delta} & bu_n(S^n) \\ \uparrow \cong & & \uparrow & & \uparrow \cong \\ \mathbb{Z}/2^{t-1} \cong bo_{n+1}(\mathbb{R}P^n) & \xrightarrow{\delta} & bo_{n+1}(C(g)) & \xrightarrow{\delta} & bo_n(S^n) \end{array}$$

Let  $F \in KU_{n+1}(C(g))$  be such that  $\delta(F)$  is a generator and let  $\psi^3$  denote the Adams operation, as usual. The  $KU_* - e$ -invariant of  $g$  is given by

$$(2.2) \quad (\psi^3 - 1)(F) \in KU_{n+1}(\mathbb{R}P^n) \cong \mathbb{Z}/2^{t-1}.$$

Since  $\psi^3(x) = 3^t x$  for  $x \in KU_{n+1}(\mathbb{R}P^n)$  we see that (2.2) is well-defined modulo

$$(3^t - 1)\mathbb{Z}/2^{t-1} = 2^{k+2}\mathbb{Z}/2^{t-1}, \text{ if } t = 2^k \text{ and } k \geq 2.$$

From (2.1) one sees that one may equally well define the  $e$ -invariant of (2.2) by means of  $bu_*$  or  $bo_*$ . In particular we have the following results since the canonical map

$$bo_{n+1}(\mathbb{R}P^n) \cong \mathbb{Z}/2^{t-1} \rightarrow bo_{n+1}(\mathbb{R}P^\infty) \cong \mathbb{Z}/2^t$$

is injective when  $n = 2t - 2$ ,  $t = 2k$ .

(2.3) LEMMA. Let  $n, t, k$  ( $k \geq 2$ ) and  $g$  be as in §1.3. Then the  $KU_* - e$ -invariant of  $g$  equals  $((3^t - 1)/4)(2s + 1) = 2^k(2u + 1)$  in  $\mathbb{Z}/2^{t-1}$  if and only if the  $bo_* - e$ -invariant of  $f$  equals  $2^{k+1}(2v + 1)$  in  $\mathbb{Z}/2^t \cong bo_{n+1}(\mathbb{R}P^\infty)$ .

(2.4) Now let  $bspin = bo\langle 4 \rangle$  be the 3-connected cover of  $bo$  [2, p. 146].

In a more refined manner one may consider the  $e$ -invariant defined by studying

$$(2.5) \quad \psi^3 - 1: bo_{n+1}(C(g)) \rightarrow bspin_{n+1}(C(g)).$$

It is straightforward to relate the " $e$ -invariant" of (2.5) to the  $bo_*$ - $e$ -invariant.

On the other hand, if we define a (2-localized) spectrum,  $jo$ , by the fibration

$$(2.6) \quad jo \rightarrow bo \xrightarrow{\psi^3 - 1} bspin$$

one may easily relate the induced map

$$(2.7) \quad g_* = f_*: jo_n(S^n) \rightarrow jo_n(\mathbb{R}P^n) \cong jo_n(\mathbb{R}P^\infty)$$

to (2.5) and thence to Lemma 2.3.

One finds the following relationship.

(2.8) PROPOSITION. *Let  $f, g, n, t$  be as in (1.3). Then the  $e$ -invariant of (2.2) equals  $((3^t - 1)/4)(2s - 1)$  in  $\mathbb{Z}/2^{t-1}$  if and only if  $g_* = f_*$  is non-zero in (2.7).*

*In fact,  $2g_* = 0$  in any case [5].*

### §3. COMPUTATIONS

All that remains to be done to complete the proof of the main theorem (1.3) is to prove the following three lemmas.

(3.1) LEMMA.  $bo_{2^k-1}^{(2^{k-1}-1)}(\text{Cone } f) \cong \mathbb{Z}/2$  and  $bo_{2^k-1}^{(2^{k-1}-1)}(\text{Cone } f) \rightarrow H\mathbb{Z}/2_{2^k-1}(\text{Cone } f)$  is an isomorphism.

(3.2) LEMMA.  $j^{2^{k-3}} \circ \lambda: bo_{2^k-1}^{(2^{k-1}-1)}(\text{Cone } f) \rightarrow bo_{2^{k+1}-1}(\text{Cone } f)$  is an injection.

$$\begin{array}{ccc} \downarrow \cong & & \downarrow \cong \\ \mathbb{Z}/2 & & \mathbb{Z}/2^{2^k} \end{array}$$

(3.3) LEMMA.  $(\psi^3 - 9)(\psi^3 - 9^2) \dots (\psi^3 - 9^{2^{k-2}-1})(\beta_{2^k-2}) = 2^{2^k-k-2}(\beta_{2^k-1})$

*Proof of 3.1.* As promised we use Adams spectral sequences since the definition of  $bo^{(n)}$  indicates that

$$(3.5) \quad \left\{ \begin{array}{l} D_2^{s,t}(n) = \text{Ext}_{\mathcal{A}}^{s,t}(H^*(bo^{(n)}) \otimes H^*(X), \mathbb{Z}/2) \Rightarrow bo_{t-s}^{(n)}(X) \\ \text{and } \lambda: bo^{(n)} \rightarrow bo \text{ of (1.7) induces an isomorphism} \\ D_2^{s,t}(n) \cong \text{Ext}_{\mathcal{A}}^{s+n,t+n}(H^*(bo) \otimes H^*(X), \mathbb{Z}/2) \cong E_2^{s+n,t+n} \\ \text{where } E_2^{s,t} \Rightarrow bo_{t-s}(X) \text{ is an Adams spectral sequence.} \end{array} \right.$$

Now one simply turns to [14] to see that

(3.6)

$$\text{Ext}_{\mathcal{A}}^{m, m+2^k-1}(H^*(bo) \otimes H^*(\mathbb{R}P^\infty), \mathbb{Z}/2) \cong \begin{cases} 0 & \text{if } m > 2^{k-1} - 1 \\ \mathbb{Z}/2 & \text{if } 0 \leq m \leq 2^{k-1} - 1 \end{cases}$$

This completes the proof of the lemma but the authors feel that they are doing the readers a disservice if they do not point out the methods used in [14] for doing these calculations, since once the method is understood, anyone can duplicate the calculations on their own

faster than they can look them up in [14] and these methods are at the heart of all the calculations in this paper. The fundamental fact is that if  $R$  is defined as the stable fibre of the Kahn–Priddy map

$$(3.7) \quad S^{-1} \xrightarrow{h} R \rightarrow \mathbb{R}P^\infty \xrightarrow{\tau} S^0$$

then it turns out that

$$(3.8) \quad R \wedge bo \simeq \bigvee_{n \geq 0} \Sigma^{4n-1} H\mathbb{Z}_{(2)}.$$

Thus to make calculations in  $bo_* \mathbb{R}P^\infty$  one just studies the kernel and cokernel of  $bo_*(h)$ , the calculations being done using the Adams spectral sequence which collapses in both cases and the map  $bo_* h$  is determined by the fact that it always preserves Adams filtration.

*Proof of (3.2).* The proof of (4.1) showed that

$$(3.9) \quad \begin{array}{ccc} \lambda \cdot bo_{2^{k-1}}^{(2^{k-1})}(\text{Cone } f) & \rightarrow & bo_{2^{k-1}}(\text{Cone } f) \\ \downarrow \cong & & \downarrow \cong \\ \mathbb{Z}/2 & & \mathbb{Z}/2^{2^{k-1}} \end{array}$$

is an inclusion. So it remains to show that

$$(3.10) \quad \begin{array}{ccc} j^{2^{k-3}}: bo_{2^{k-1}}(\text{Cone } f) & \rightarrow & bo_{2^{k+1}-1}(\text{Cone } f) \\ \downarrow \cong & & \downarrow \cong \\ \mathbb{Z}/2^{2^{k-1}} & & \mathbb{Z}/2^{2^k} \end{array}$$

in an inclusion. But this is well known and can be proved by means of the Atiyah–Hirzebruch spectral sequence. Q.E.D.

*Proof of (3.3).* From [14] we know that  $\psi^3(\beta_{2^{k-1}}) = 9^{2^{k-1}} \beta_{2^{k-1}}$ . Notice that

$$(3.11) \quad v_2(9^m - 1) = v_2(m) + 3.$$

For if  $m$  is odd this is obvious from the binomial expansion of  $(8+1)^m = 9^m$  and if not then it follows by induction from the equation

$$(9^{2^m} - 1) = (9^m - 1)^2 + 2(9^m - 1).$$

Thus

$$\begin{aligned} & (\psi^3 - 9) \dots (\psi^3 - 9^{2^{k-2}-1})(\beta_{2^{k-1}}) \\ &= (9^{2^{k-1}} - 9)(9^{2^{k-1}} - 9^2) \dots (9^{2^{k-1}} - 9^{2^{k-2}-1})\beta_{2^{k-1}} \\ &= 9^a(9^{2^{k-1}-1})(9^{2^{k-1}-2} - 1) \dots (9^{2^{k-2}+1} - 1)\beta_{2^{k-1}} \\ &= (2s+1)2^{3(2^{k-2}-1)}2^x \lambda \beta_{2^{k-1}} \end{aligned}$$

by (3.11), where

$$\begin{aligned} x &= v_2(2^{k-2} + 1) + v_2(2^{k-2} + 2) + \dots + v_2(2^{k-1} - 1) \\ &= v_2(1) + v_2(2) + \dots + v_2(2^{k-2} - 1) \\ &= v_2((2^{k-2} - 1)!) \\ &= 2^{k-2} - 1 - (\alpha(2^{k-2} - 1)) \end{aligned}$$

since  $v_2(m!) = m - \alpha(m)$ . Since  $\alpha(2^{k-2} - 1) = k - 2$ , we obtain the desired result.

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